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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	Unisphere-13/2
First Inventor or Application Identifier	Luis Eduardo GUTIERREZ-SHERIS
Title	Money-Transfer Techniques
Express Mail Label No.	EL632363572US

09/635330
09/60/80

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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- ☒ * Fee Transmittal Form (with fees)
(Submit an original and a duplicate for fee processing)
- ☒ Specification [Total Pages 65]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 13]
- Oath or Declaration w/Power [Total Pages 2]
 - ☒ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

- ☐ Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Copy
 - ☐ Paper Copy (identical to computer copy)
 - ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☒ * Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Other: _____

NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____
Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	007265	or <input type="checkbox"/> Correspondence address below
(Insert Customer No. or Attach bar code label here)		
Name		
Address		
City	State	Zip Code
Country	Telephone	Fax

Name (Print/Type)	Peter L. Michaelson	Registration No. (Attorney/Agent)	30,090
Signature	Peter L. Michaelson	Date	August 8, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

(UNI13-2TRANS/6:ca)

MICHAELSON & WALLACE
A Partnership of Intellectual Property and Technology Lawyers

Doc. No. Unisphere-13/2

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August 8, 2000

PATENT APPLICATION

Assistant Commissioner of Patents
Box **Patent Application**
Washington, D. C. 20231

Sir:

FEE TRANSMITTAL FORM

Enclosed herewith for filing is the following
utility patent application:

Applicant: **Luis Eduardo GUTIERREZ-SHERIS**

Title of application: **MONEY-TRANSFER TECHNIQUES**

Pages of specification: 65 (including 6 pps. of claims
[1-17] and 1 page of abstract)

Sheets of drawing: 13 (FIGs. 1-15)

Executed on: **July 11, 2000**

Docket No.: **Unisphere-13/2**

PATENT APPLICATION FILING FEE CALCULATION

	<u>No. Filed</u>	<u>Less</u>	<u>Rate/Claim</u>	<u>Fee</u>
Total				
Claims	<u>17</u>	-20	0 x \$18.00	\$ 00.00
Independent				
Claims	<u>3</u>	-3	0 x \$78.00	\$ 00.00
			Minimum Filing Fee	\$ 690.00
			Multiple Dependency Fee (if applicable - \$260.00)	\$ 00.00
			50% Reduction for Small Entity (Independent Inventor, Non-profit Corporation, or Small Business Concern) - appropriate verified statement attached	\$-345.00
			TOTAL FILING FEE	\$ 345.00
			ASSIGNMENT RECORDATION	\$ 40.00
			TOTAL FEES ENCLOSED	\$ 385.00

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- ☐ 1001 Partridge Drive
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Our check in the amount of the total filing fee is enclosed herewith.

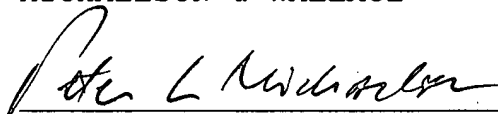
In the event that this check is unacceptable, insufficient or omitted, kindly charge the entire or additional filing fee, as appropriate, to my deposit account number 13-3083. To facilitate that charge, a duplicate copy of this letter is enclosed herewith.

Also enclosed herewith for filing in connection with the enclosed application are:

- XX Postcard Receipt;
- XX Check (\$ 385.00);
- XX Utility Patent Application Transmittal;
Oath;
- XX Declaration and Power of Attorney (2 pages);
Disclosure Statement;
- Letter referencing previously filed disclosure
document; number _____ filed _____;
- XX Verified Statement Claiming Small Entity Status;
- XX A Recordation Form Cover Sheet and an Assignment of
the application to: UniTeller Financial Services,
Inc. (3 pps.);
- Claim to priority;
- Submission of Priority Document and a certified
copy of a _____ patent application or
inventor's certificate, filed _____ and
serial no. _____, upon which a claim to
priority is made; and
- Other: _____.

Respectfully submitted,

MICHAELSON & WALLACE



Peter L. Michaelson, Attorney
Reg. No. 30,090
Customer No. 007265

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STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

Docket Number (Optional)
Unisphere-13/2

Applicant, Patentee, or Identifier: Luis Eduardo GUTIERREZ-SHERIS
Application or Patent No.: _____
Filed or Issued: _____
Title: MONEY-TRANSFER TECHNIQUES

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN UniTeller Financial Services, Inc.
ADDRESS OF SMALL BUSINESS CONCERN 218 Route 17 North
Rochelle Park, NJ 07662-2333

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- Each person, concern, or organization having any rights in the invention is listed below:
☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING L. Eduardo GUTIERREZ

TITLE OF PERSON IF OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING 218 Route 17 North, Rochelle Park, NJ

SIGNATURE  DATE 7/12/00

(UNI13-2ENTITY/6:ca)